

University of Wisconsin-Madison  
Department of History

HISTORY 457: SOUTHEAST ASIA TO 1800

Fall 1994 W 3:30-5:30

Thongchai Winichakul

Enriched by the Chinese and Indic civilizations, Southeast Asia is a place of striking diversity where the major world's religions meet (Hinduism, Buddhism, Islam, Christianity and Confucianism). Yet it is the subtle commonality of local cultures that make the region distinctive. The cultural traditions have shaped the history of the region and are very much alive today despite colonization and Westernization.

This course will explore major themes and topics in the history of the whole region rather than of major events in individual countries in details. No prior knowledge is required. Nor is the ability to memorize the dates and unfamiliar names. The enthusiasm to learn other cultures and to study unfamiliar materials, plus imagination, is hoped for.

Lecture and Discussion

A two-hour weekly meeting on Wednesday 3:30-5:30 at 2637 Humanities. Additional meeting, if necessary, will be announced. The quasi-seminar format would facilitate more discussion. But students are expected to work hard on the weekly reading and assignment than the normal lecture format. (This accounts for the extra credits beyond the weekly meeting.) Questions are welcome any time.

Office Hours Room 5211 Humanities ph. 263-8931

Monday 11:00-12:30 and 2:00-3:00 or by appointment

Grading

- Undergrad:
1. Mid-term take-home exam 20% (perhaps in week 5 or 6)
  2. Three credit A specified assignment (e.g. a fact finding research or annotate bibliography of a topic. To be announced later in class.) Due Wed, Nov 23. 40%
  3. Four credit One 2,500 word (@10 pages) research essay. Due Wed, Nov. 23. 40%
3. Final exam 40%
- Grad:
1. A essay on a selected primary source. Due week 5 or 6.
  2. One essay on the historiography of a selected topic. Due week 10.
  3. One 4,000-5,000 word paper (15-20 pages). Topic agreed by the instructor, preferably a topic on any marginal subject. Due Dec 15.

Required texts

Higham, Charles. The Archaeology of Mainland Southeast Asia. Cambridge University Press, 1989.

Reid, Anthony. Southeast Asia in the Age of Commerce 1450-1860, Volume One: the Lands Below the Winds. Yale University Press, 1988.

Reid, Anthony. Southeast Asia in the Age of Commerce 1450-1860, Volume Two: Expansion and Crisis. Yale University Press, 1993.

The coursepacket for History 457 (available at Humanities Copy Center, Rm. 1650 Humanities).

Recommended book

Hall, Kenneth. The Maritime Trade and Early History of Southeast Asia. Honolulu: University of Hawaii Press.

All of the above materials are also available in the Reserves Collection (at College Library).

\* The required readings which are not in the required texts are mostly in the packet. The exceptions are K. Hall (w.4,5) which is the recommended book; Lieberman for w.8 and 13 which is from a journal but too long to get the permission for copying, and Heine-Geldern for w.9. They are in the library. Students should find time to make your own copies in advance.

Supplementary Reading listed each week are the studies in more details and depth on specific topics for your interest and may be useful for your research paper.

General Supplementary (The classic works and/or texts on individual countries for further references. All of them are in both the Memorial library and in the Reserves, except Andaya and Lieberman are at Memorial only. Many have several copies.)

- Hall, D.G.E. History of South East Asia.  
Cady, John F. Southeast Asia: Its Historical Development.  
Tarling, Nicholas ed. The Cambridge History of Southeast Asia. Vol. 1.  
Coedes, George. The Making of Southeast Asia.  
Coedes, George. The Indianized States of Southeast Asia.  
Wolters, O.W. History, Culture, and Region in Southeast Asian Perspective.  
Hall, Kenneth R. and Whitmore, John eds. Explorations in Early Southeast Asian History: the Origins of Southeast Asian Statecraft.  
Legge, J.D. Indonesia.  
Ricklefs, M.C. A History of Modern Indonesia c.1300 to the Present.  
Andaya, Barbara and Leonard. A History of Malaysia.  
Wyatt, David K. A Short History of Thailand.  
Chandler, David P. A History of Cambodia.  
Taylor, Keith. The Birth of Vietnam.  
Buttinger, Joseph. The Smaller Dragon: the Political History of Vietnam.  
Aung-Thwin, Michael. Pagan: the Origin of Modern Burma.  
Lieberman, Victor. Burmese Administrative Cycles: Anarchy and Conquest c. 1580-1760.  
Phelan, J.L. The Hispanisation of the Philippines: Spanish Aims and Filipino Responses 1565-1700

#### COURSE SCHEDULE

(\* = required readings, the rest in each week are supplementary)  
(Titles shortened if the same as above. Page numbers at the end of each item.)

Week 1 (Sept 7) The course: aims and objectives, scope of contents, assignments, reading materials, and other class business.

Week 2 (Sept 14) What is Southeast Asia? Diversity and Similarity  
\* Reid, Age of Commerce, vol. 1. 1-119.

Emmerson, Donald. "What's in the Name 'Southeast Asia'?" Journal of Southeast Asian Studies

Week 3 (Sept 21) Prehistory: the old and new theories of early settlements.  
\* Coedes. Making of South-East Asia. pp.10-33.  
\* Higham. Archaeology of Mainland SEAsia. pp.15-30, 153-238.

Smith, R.B. and Watson, W. eds. Early Southeast Asia: Essays in Archaeology, History and Historical Geography. 9-14, 315-336.



Week 4 (Sept 28) The genesis of "states": Indianization vs. localization

- \* Higham. Archaeology of Mainland SEAsia. 239-320 (esp.307-320).
- \* Hall, K. Maritime Trade. 1-25.
- \* Coedes. Indianized States. 14-35.

Wolters, O.W. History, Culture and Region. 45-55 (or 68).

Mabbett, I.W. "The Indianization of Southeast Asia: Reflections on the Pre-Historical Sources," Journal of Southeast Asian Studies [JSEAS] 8 (1977): 1-14.

\_\_\_\_\_. "The Indianization of Southeast Asia: Reflections on the Historical Sources," JSEAS 8 (1977), pp. 143-61.

Coedes. Indianized States. pp.36-109.

Hall, K. "State and Statecraft in Early Srivijaya," in Early Southeast Asian History. 61-106.

Coedes. Making of South-East Asia. 39-49 for Vietnam.

Wolters, O.W. Early Indonesian Commerce: A Study of the Origin of Srivijaya. chap.2,4,5,11-13.

Bronson, Bennet. "The Archaeology of Sumatra and the Problems of Srivijaya," in Early Southeast Asia. ed. Smith and Watson, 394-405.

Luce, G.H. "The Ancient Pyu," in The Burmese Research Society: 50th Anniversary Publication, vol.2, pp.307-21; or in Journal of the Burmese Research Society [JBRS] 27 (1937). 239-253.

Shorto, H.L. "The Dewatu Sotapan: A Mon Prototype of the 37 Nats," Bulletin of the School of Oriental and African Studies 30 (1967). 127-141.

Wales, H.G.Q. Dvaravati: the Earliest Kingdom of Siam.

Week 5 (Oct 5) No class. (A make-up or assignment to be announced)

Week 6 (Oct 12) Classical states and the 13th century transition

- \* Higham. Archaeology of Mainland SEAsia. 321-55.
- \* Wolters. History, Culture and Region. 16-33.
- \* Kulke, Hermann. "The Early and Imperial Kingdoms in Southeast Asian History," in Southeast Asia in the 9th to 14th. 1-22.
- \* Hall, K. Maritime Trade. 78-102, 169-93
- \* Coedes. Making of South-East Asia. 121-34.

Coedes. Making of South-East Asia. 73-87.

Coedes. Indianized States. 110-234.

Aung-Thwin, Michael. Pagan. 71-189.

Luce, G.H. Old Burma Early Pagan, (3 vols.)

Than Tun. Essays on the History of Buddhism in Burma.

Taylor, Keith. "The Rise of Dai Viet and the Establishment of Thang Long," in Early Southeast Asian History. eds. Hall and Whitmore. 149-93.

Hall, K. and Whitmore. "Southeast Asian Trade and the Isthmian struggle, 1000-2000," in Early South Asian History. 303-340.

Hall, K. Maritime Trade. 194-231.

Bennet, P. "The Fall of Pagan," in Conference under the Tamarind Tree: Three Essays in Burmese History.

Chandler, David P. A History of Cambodia. 55-75.

Wolters, O.W. The Fall of Srivijaya in Malay History. chap.1-5.

Kasetsiri, Charnvit. The Rise of Ayudhya. chap. 3-4 (30-75).

Wyatt, David. A Short History of Thailand. 38-53.

Week 7 (Oct 19) The Age of Commerce

- \* Reid. Age of Commerce, vol. 2. 1-61, 204-266.

Thomaz, Luis Filipe Fereira Reis. "The Malay Sultanate of Melaka," in Southeast Asia in the Early Modern Era: Trade, Power and Belief. ed. Anthony Reid, 1993. 69-90.

Andaya, Barbara Watson. "Cash Cropping and Upstream-Downstream Tension," in ibid. 91-122.

Week 8 (Oct 26) Consolidation of Power in the Mainland

- \* Lieberman, Victor. "Local Integration and Eurasian Analogies: Structuring Southeast Asian History," Modern Asian Studies 27, 3 (1993): 475-572.

- \* Taylor, Kieth W. "Nguyen Hoang and the Beginning of Vietnam's Southward Expansion," in Southeast Asia in the Early Modern Era: Trade, Power and Belief. ed. Anthony Reid, 1993. 42-65.

Wyatt, David. A Short History of Thailand. pp.52-138.

Kasetsiri, Charnvit. The Rise of Ayudhya. chap.7 (pp. 119-47).

Mangrai, Sao Saimuang. The Shan States and British Annexation.

Chandler, David. History of Cambodia. chap.5 (pp.77-98).

Cotter, Michael G. "Towards a History of the Vietnamese Southward Movement," JSEAH 9 (1968), pp. 12-34.

Buttinger, Joseph. The Smaller Dragon. chap.3 (pp.129-76).

Andaya, Barbara & Leonard. History of Malaysia. pp.62-68, 106-8.

Bonney, R. Kedah 1771-1821: the Search for Security and Independence.

Week 9 (Nov 2) Power and Kingship

- \* Anderson, Benedict. "The Idea of Power in Javanese Culture," in Culture and Politics in Indonesia ed. Claire Holt, pp.1-69.

- \* Heine-Geldern, Robert. Conceptions of State and Kingship in Southeast Asia.

- \* Nithi Aeusrivong. "The Devaraja Cult and Khmer Kingship at Angkor," in Early Southeast Asian History. ed. Hall and Whitmore. 107-148

Hall, K. "An Introductory Essay" Early Southeast Asian History ed. Hall and Whitmore. 1-24.

Geertz, Clifford. "Centers, Kings and Charisma: Reflections of the Symbolic of Power," in Geertz, Local Knowledge. (Also in Culture and Its Creator ed. J. Ben-David and T.N. Clark. 150-71.)

Hanks, Lucien M. "Merit and Power in Thai Social Order," American Anthropologist 64 (1962). 1247-61.

Gesick, Lorraine, ed. Centers, Symbols and Hierarchies: Essays on the Classical States of Southeast Asia. See the articles by Shelly Errington, Michael Aung-Thwin, and Lorraine Errington.

Aung-Thwin, Michael. Pagan. 15-68.

Kulke, Hermann. The Devaraja Cult.

Mabbett, I.W. "Devaraja," Journal of Southeast Asian History 10 (1969), 202-223.

Reynolds, Frank E. and Mani B. Three Worlds According to King Ruang: A Thai Buddhist Cosmology. see introduction.

Shorto, H.L. "The 32 Myos in the Medieval Mon Kingdom," Bulletin of the School of Oriental and African Studies 26 (1963). 572-91.

Kirsch, A. Thomas. "Kinship, Genealogical Claims, and Societal Integration



- in Ancient Khmer Society: An Interpretation," in Southeast Asian History and Historiography ed. C.D.Cowan and O.W.Wolters.190-202
- Tambiah, S.J. World Conqueror and World Renouncer. chap.4 [also in Annals of the New York Academy of Sciences 293 (July 1977). 69-97].
- Taylor, Keith. "Authority and Legitimacy in the 11th Century Vietnam," in Southeast Asia in the 9th to 14th Century ed. Marr and Milner, 139-176.

Week 10 (Nov 9) Religions

- \* Reid. Age of Commerce, vol. 2. 132-201.
- \* Wolters, O.W. "Khmer 'Hinduism' in the Seventh Century," in Early Southeast Asia ed. Smith and Watson. 427-42.
- \* Geertz, Clifford. Religion of Java. pp. 121-30, 227-48.
- \* Hue-Tam Ho Tai. "Religion in Vietnam: A World of Gods and Spirits," Vietnam: Essays on History, Culture and Society. ed. David W.P. Elliott, 1985. 22-39.

- Hooker, M.B. ed. Islam in Southeast Asia. chap 1-2. 1-49.
- Ibrahim, Ahmad, et al eds. Readings on Islam in Southeast Asia. pp.7-19.
- Lapidus, Ira M. A History of Islamic Societies. chap.19 (pp.467-88).
- Ricklefs, M.C. A History of Modern Indonesia c.1300 to the Present. chap.1
- Holt, P.M. et al eds. The Cambridge History of Islam vol.2, part VI, chap.1 "Southeast Asian Islam to the eighteenth Century," pp.123-54.
- Keyes, Charles F. "Millennialism, Theravada Buddhism and Thai Society," JAS 36, pp.283-302 .
- Aung-Thwin, Michael. "The Role of Sasana Reform in Burmese History: Economic Dimensions of A Religious Purification," JAS 38 (1979), pp.671-88.
- \_\_\_\_\_. "A Reply to Lieberman," JAS 40 (1980), pp. 87-90.
- Lieberman, Victor. "The Political Significance of Religious Wealth in Burmese History," JAS 39 (1980), pp. 753-69.
- Rafael, Vicente. "Confession, Conversion, and Reciprocity in Early Tagalog Society," pp. 320-39.

Week 11 (Nov 16) Social organization

- \* Reid. Age of Commerce, vol.1. 120-28, 137-172.
  - \* Reid. Age of Commerce, vol.2. 62-92, 114-131.
  - \* \_\_\_\_\_. ed. Slavery, Bondage and Dependency in Southeast Asia. 1-43.
- Geertz, Clifford. Agricultural Involution. pp. 38-46.
- Manguin, Pierre. " Shipshape Societies: Boat Symbolism and Political Systems in Insular Southeast Asia,": in Southeast Asia 9th to 14th Century. ed. Marr and Milner, pp. 187-214.
- Lieberman, Victor. Burmese Administrative Cycles: Anarchy and Conquest c. 1580-1760. pp. 15-32, 63-198.
- Moertono, Soemarsaial. State and Statecraft in Old Java: A Study of the Later Mataram Period, 16th to 19th Century.
- Hanks, Lucien M. "The Thai Social Order as Entourage and Circle," in Change and Persistence in Thai Society ed. G. William Skinner and A. Thomas Kirsch, pp. 197-218.
- Akin Rabibhadana. "The Clientship and Class Structure in Early Bangkok Period," in ibid. pp. 93-124.
- \_\_\_\_\_. The Organization of Thai Society in the Early Bangkok Period, 1782-1873.

Reid, ed. Slavery, Bondage. see another article by Reid pp.156-81; and by others on individual countries.

Woodside, Alexander. Vietnam and the Chinese Model. chap. 1-2.

Journal of Southeast Asian Studies 15, 2 (Sept 1984) published papers from the Symposium on Societal Organization in Mainland Southeast Asia Prior to the Eighteenth Century. See the articles by Aung-thwin, Wyatt, Ebihara, Whitmore.

Week 12 (Nov 23) No class. Papers dues this week before the Thanksgiving recess.

Week 13 (Nov 30) At the dawn of the colonial period

\* Reid. Age of Commerce, vol.2. chap. 5 (267-325).

\* Lieberman. "Structuring of Southeast Asian History" (see w. 8) 552-569.

\* Kathirithamby-Wells, J. "The Age of Transition: the Mid-eighteenth to the Early Nineteenth Centuries," Cambridge History of Southeast Asia. vol. 1. 572-619.

See the articles by Kathirithamby-Wells, Manguin and Lieberman in Southeast Asia in the Early Modern Era: Trade, Power and Belief. ed. Anthony Reid, 1993. 123-148, 197-249.

Hall, D.G.E. History of South East Asia. chap. 11-12, 15-17 (205-37, 290-334).

Cady, John Southeast Asia. chap.9-11 (173-259).

Andaya, Barbara & Leonard. History of Malaysia. 55-62, 76-113.

Meilink-Roelofs. Asian Trade and European Influences in the Indonesian Archipelago between 1500 and about 1630.

Boxer, C.R. The Portuguese Seaborne Empire, 1415-1825.

Smith, George V. The Dutch in Seventeenth Century Thailand.

Geertz, Clifford. Agricultural Involution. chap.4 (47-82).

Legge, J.D. Indonesia. chap.4 (71-89).

Week 14 (Dec 7) On the Possibility of an Authentic History of Pre-modern Southeast Asia.

\* Smail, John. "On the Possibility of an Autonomous History of Modern Southeast Asia," Journal of Southeast Asian History 2,2 (1961): 72-102.

\* Benda, Harry J. "The Structure of Southeast Asian History: Some Preliminary Observations," Journal of Southeast Asian History 3 (1962): 106-38.

\* Reynolds, Craig. "A New Look at Old Southeast Asia," (manuscript to appear in Journal of Asian Studies, May 1995.)

Hall, D.G.E. "The Integrity of Southeast Asian History," Journal of Southeast Asian Studies 4,2 (Sept 1973): 159-168.

Legge, J.D. "The Writing of Southeast Asian History," Cambridge History of Southeast Asia, vol 1, chap. 1 (1-50).

[Week 15 (Dec 15) Summary]